



## IAPD Report

# ANTHONY THOMAS IZZO

CRD# 1151475

<b><u>Section Title</u></b>	<b><u>Page(s)</u></b>
Report Summary	1
Qualifications	2 - 4
Registration and Employment History	5

**i** Please be aware that fraudsters may link to Investment Adviser Public Disclosure from phishing and similar scam websites, trying to steal your personal information or your money. Make sure you know who you're dealing with when investing, and contact FINRA with any concerns.  
For more information read our [investor alert](#) on imposters.



## **IAPD Information About Representatives**

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

### **What is included in a IAPD report?**

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

### **Where did this information come from?**

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

### **How current is this information?**

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

### **Need help interpreting this report?**

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

### **What if I want to check the background of an Individual Broker or Brokerage Firm?**

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

### **Are there other resources I can use to check the background of investment professionals?**

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



## Report Summary

### ANTHONY THOMAS IZZO (CRD# 1151475)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **07/07/2023**.

### CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	VOYA FINANCIAL ADVISORS, INC.	CRD# 2882	01/03/2011
IA	VOYA FINANCIAL ADVISORS, INC.	CRD# 2882	05/13/2021

### QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **15** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

**Note:** Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

### REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	ING FINANCIAL ADVISERS, LLC	34815	MELVILLE, NY	10/24/1994 - 01/03/2011
B	AETNA INVESTMENT SERVICES, INC.	34815	WINDSOR, CT	10/15/1993 - 10/14/1994
B	AETNA LIFE INSURANCE AND ANNUITY COMPANY	13256	HARTFORD, CT	06/19/1983 - 10/15/1993

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

### DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative?

**No**



## Qualifications

### REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.

This individual is currently registered with **15** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

### Employment 1 of 1

Firm Name: **VOYA FINANCIAL ADVISORS, INC.**

Main Address: ONE ORANGE WAY  
WINDSOR, CT 06095

Firm ID#: 2882

	Regulator	Registration	Status	Date
<b>B</b>	FINRA	Invest. Co and Variable Contracts	Approved	01/03/2011
<b>B</b>	Arizona	Agent	Approved	01/06/2012
<b>B</b>	California	Agent	Approved	05/23/2022
<b>B</b>	Connecticut	Agent	Approved	01/03/2011
<b>B</b>	Delaware	Agent	Approved	04/05/2018
<b>B</b>	Florida	Agent	Approved	01/03/2011
<b>B</b>	Maryland	Agent	Approved	01/03/2011
<b>B</b>	Nevada	Agent	Approved	07/12/2023
<b>B</b>	New Hampshire	Agent	Approved	12/06/2016
<b>B</b>	New Jersey	Agent	Approved	01/03/2011
<b>B</b>	New York	Agent	Approved	01/03/2011
<b>IA</b>	New York	Investment Adviser Representative	Approved	05/13/2021
<b>B</b>	North Carolina	Agent	Approved	01/03/2011



## Qualifications

	Regulator	Registration	Status	Date
B	Pennsylvania	Agent	Approved	01/03/2011
B	South Carolina	Agent	Approved	06/23/2023
B	Texas	Agent	Approved	03/27/2020
IA	Texas	Investment Adviser Representative	Restricted Approval	06/18/2021
B	Virginia	Agent	Approved	11/29/2018

## Branch Office Locations

**VOYA FINANCIAL ADVISORS, INC.**  
ONE HUNTINGTON QUADRANGLE  
SUITE 1C01  
MELVILLE, NY 11747



## Qualifications



### PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

**This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 1 state securities law exam.**

#### Principal/Supervisory Exams

Exam	Category	Date
------	----------	------

No information reported.

#### General Industry/Product Exams

Exam	Category	Date
<b>B</b> Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
<b>B</b> Non-Member General Securities Examination (S2)	Series 2	03/28/1980

#### State Securities Law Exams

Exam	Category	Date
<b>B</b> Uniform Securities Agent State Law Examination (S63)	Series 63	01/12/1994



### PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



## Registration & Employment History

### PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	10/24/1994 - 01/03/2011	ING FINANCIAL ADVISERS, LLC	CRD# 34815	MELVILLE, NY
B	10/15/1993 - 10/14/1994	AETNA INVESTMENT SERVICES, INC.	CRD# 34815	WINDSOR, CT
B	06/19/1983 - 10/15/1993	AETNA LIFE INSURANCE AND ANNUITY COMPANY	CRD# 13256	HARTFORD, CT

### EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
09/2014 - Present	VOYA FINANCIAL ADVISORS	REG REP	Y	MELVILLE, NY, United States
02/2012 - Present	ANTHONY IZZO & ASSOCIATES	AGENT	Y	MELVILLE, NY, United States
01/2011 - 09/2014	ING FINANCIAL PARTNERS, INC.	REG REP	Y	MELVILLE, NY, United States

### OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

NAME OF ENTITY:ANTHONY IZZO & ASSOCIATES; Yes; 1 HUNTINGTON QUADRANGLE; MELVILLE; NY; 11747; DBA FOR SECURITIES; DBA; 2/1/2012; 160; 160; DBA FOR THE MARKETING OF INSURANCE AND INVESTMENTS OFFERED THROUGH INGFP - BROKER-DEALER|NAME OF ENTITY:ANTHONY IZZO-RENTAL PROPERTY; No; 192 SEASHORE DR N; North Topsail Beach; NC; 28460; RENTAL PROPERTIES; OWNER; 10/1/2010; 1; 1; RENTAL PROPERTIES collect rent|NAME OF ENTITY:Benefit Planning Corp; No; ONE HUNTINGTON QUAD STE 1C01; MELVILLE; NY; 11747; Fixed Insurance; President; 1/1/1984; 80; 0; sale of life insurance, disability, LTC|NAME OF ENTITY:Anthony Izzo; No; 602 Hampton Colony; North Topsail Beach; NC; 28460; Rental Property; Owner; 6/25/2018; 3; 0; property maintained & managed by real estate broker|NAME OF ENTITY:ANTHONY IZZO-RENTAL PROPERTY; No; 106 scotch bonnet ct.; North Topsail Beach; NC; 28460; RENTAL PROPERTY; owner; 11/1/2016; 1; 0; MAINTAIN PROPERTY, COLLECT RENT|NAME OF ENTITY:ST. JAMES RENTAL; No; 306 Drew Drive; St. James; NY; 11780; Rental property; OWNER; 7/12/2019; 2; 0; MAINTAIN PROPERTY AND COLLECT RENT|NAME OF ENTITY:406 Drew Drive, St. James, NY; No; 306 Drew Drive, St. James, NY; St. James; NY; 11780; Rental Income; Owner; 11/14/2019; 2; 0; Maintain property and collect rent|NAME OF ENTITY:707 Crystal Cove Court; No; 3 Swam Place St James NY 11780; Sneads Ferry; NC; 28460; Rental Property; Anthony and Patricia Izzo - Owner; 6/1/2020; 4; 0; Landlord|NAME OF ENTITY:2238 Island Drive; No; 3 Swan Place, St James, NY 11780; North Topsail Beach; NC; 28460; Vacation Rental Property; Owner; 3/1/2022; 2; 0; Keep Track of Rental Schedule|



## End of Report

This page is intentionally left blank.